



BEST AVAILABLE COPY

Practitioner's Docket No. 2002DE141

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Ruediger WINTER and Christian WILLE
Serial No.: 10/532,565 Art Unit: 1755
Filed: April 22, 2005 Examiner: n/a
For: Method And Device For Carrying Out Chemical And Physical Methods

Mail Stop:
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following, which is incorrectly entered. A copy of the Power of Attorney as originally filed claiming the foreign application is attached.

Error in

1. Foreign Applications

Correct data

1. Federal Republic of Germany 102 49 747.8
of October 25, 2002

Date: 5/12/06

Reg. No.: 40,913
Tel. No.: 704-331-7151
Customer No.: 25,255

Anthony A. Bisulca #40913
Signature of Practitioner
Anthony A. Bisulca
Clariant Corporation
Industrial Property Department
4000 Monroe Road
Charlotte, NC 28205

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

I hereby certify that, on the date shown below, this correspondence is being:

TRANSMISSION

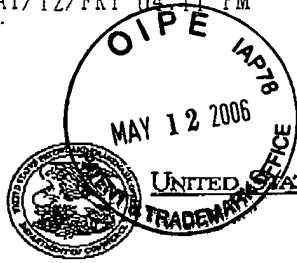
☒ facsimile transmitted to the Patent and Trademark Office, (571) 273-2885.

Date: 5-12-2006Signature Vicki L. Sgro

Vicki L. Sgro
(type or print name of person certifying)

BEST AVAILABLE COPY

Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/532,565	04/22/2005	1755	900	2002DE141	1	20	2

CONFIRMATION NO. 6714

25255
CLARIANT CORPORATION
INTELLECTUAL PROPERTY DEPARTMENT
4000 MONROE ROAD
CHARLOTTE, NC 28205

FILING RECEIPT



"OC000000017302507"

Date Mailed: 10/21/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ruediger Winter, Kelkheim, GERMANY;
Christian Wille, Weinheim, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 25255.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/10610 09/24/2003

* Foreign Applications

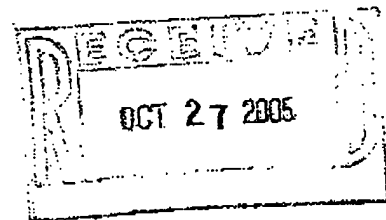
Projected Publication Date: 01/26/2006

Non-Publication Request: No

Early Publication Request: No

Title

Method and device for carrying out chemical and physical methods



BEST AVAILABLE COPY**Preliminary Class**

106

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

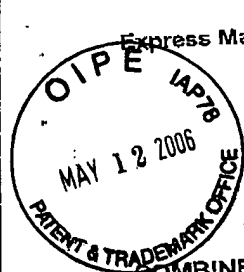
The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BEST AVAILABLE COPY



Express Mail Label Number ED 795273125 US

BEST AVAILABLE COPY

2002DE141 US

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method and device for carrying out chemical and physical methods

the specification of which

☐ is attached hereto

☒ was filed on September 24, 2003 as International Patent Application PCT/EP2003/010610

and including all the amendments through the date hereof.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s) for which Priority is Claimed:

* → Federal Republic of Germany, 10249747.8 of October 25, 2002

As a named inventor, I hereby appoint the following registered practitioner(s), respectively and individually, as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application, and transact all business in the U.S. Patent and Trademark Office:

CUSTOMER NUMBER 25,255

Please address all communications to Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205, telephone number 704/331-7140, facsimile number 704/331-7707.

Express Mail Label Num. ED 795273125 US

BEST AVAILABLE COPY

2002DE141 US

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S) / Residence

1) Ruediger WINTER, Waldplateau 20, 65779 Kelkheim, Germany

Signature:  Date: 7.3.05

2) Christian WILLE, Neckarstrasse 21, 69469 Weinheim, Germany

Signature:  Date: 22.2.05

Citizenship: 1) - 2) German

Post Office Address of all Inventors:

Clariant GmbH
Patente, Marken, Lizenzen
Am Unisys-Park 1
65843 Sulzbach
Germany